



NorthRow's partnership with muinmos Pass, provides a single onboarding solution to facilitate compliant trading with a range of global financial products

Financial brokers, banks, hedge funds, and other investment firms are obliged by law to carry out client suitability and appropriateness assessments along with full Know Your Customer (KYC) verification when trading with a range of global financial products. Keeping up-to-date and remaining compliant with regulatory requirements across many jurisdictions is a never-ending burden. That is why we have partnered with global RegTech specialist muinmos to deliver a complete onboarding solution to our clients.

Benefits

Complete onboarding solution

Automate Know Your Customer (KYC) and client classification, suitability, appropriateness and cross-border assessments so your clients can invest in particular financial products and services instantly, in accordance with MiFID II, Anti-Money Laundering (AML) and other global regulations.

Automated client suitability

Our combined solution instantly maps a financial firm's regulatory permissions across the globe, enabling you to perform accurate risk-free processing on your clients in terms of categorisation, suitability and appropriateness on each product and service offered, as well as cross border clearances.

Protection from mis-selling

As regulatory parameters change, NorthRow will instantly alert you of any regulatory infringements or potential new sales opportunities, ensuring 24x7 compliance across the globe and mitigating the risk of fines. This has the added benefit of reducing business risk by helping to ensure the executive board are in line with their regulator.

Ongoing monitoring

Minimise your risk from the point of onboarding by configuring the NorthRow solution to meet your ongoing compliance screening, frequency or monitoring requirements.



Features summary



Company and individual onboarding

Onboard international companies and individuals in real-time whilst performing in-depth AML, verification and automated classification, suitability, and appropriateness assessments.



Global coverage

Global coverage of many jurisdictions including the main financial hubs, with more new countries added based on our clients' needs.



Identity documents

Real-time verification of ID documents including licenses, passports and national ID cards. A service can be provided to clients without such documents if required.



Client categorisation

All clients are categorised in accordance with MiFID in Europe, or as applicable in the rest of the world.



Client suitability and appropriateness

Assess whether a requested service for a particular product is suitable and/or appropriate for the client in accordance with MiFID in Europe, or as applicable in the rest of the world.



Full audit record

Secure and searchable archive of all updates, allowing easy access and audit trail of every change and record of action taken.



Ongoing compliance

All compliance data is delivered on a continuous basis by acknowledged and reputable financial experts and financial supervisory authorities.



Single API

Our single API easily integrates into existing systems in hours not months.



Secure and scalable

Scalable and secure cloud solutions, encrypted document storage to ensure GDPR compliance.

Ever-changing regulation

Understanding regulatory change is at the heart of our business. Our platform leverages this knowledge to keep our processes up-to-date and our clients compliant.

You can continue to manage your risk with our automated, scalable single API solution, easily integrating into your client onboarding processes. No legacy technology issues to deal with and easy to understand transparent API documentation means you can deploy in hours not months.

Digitally transform your client onboarding

If you want to learn more on how we can digitally transform your compliance, client onboarding and monitoring processes get in touch today, or click the Get Started below.

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